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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Topfl, *et al.*

Serial No.: 09/886,071

Filed: June 20, 2001

Confirmation No.: 5990

Group Art Unit: 2152

Examiner: Chankong, Dohm

Docket No. 190252-1890

For: System and Method for Server-Based Predictive Caching of Back-End System Data

**AMENDMENT AND RESPONSE TO OFFICE ACTION**

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

The outstanding non-final Office Action mailed September 13, 2004 has been carefully considered. In response thereto, please enter the following amendments in which claims 1, 6, 11, and 16 are amended. Claims 1-20 remain pending in the present application. Reconsideration and allowance of the application and presently pending claims, as amended, are respectfully requested.

***AUTHORIZATION TO DEBIT ACCOUNT***

It is believed that no extensions of time or fees for net addition of claims are required, beyond those which may otherwise be provided for in documents accompanying this paper. However, in the event that additional extensions of time are necessary to allow consideration of this paper, such extensions are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required therefor (including fees for net addition of claims) are hereby authorized to be charged to deposit account No. 20-0778.

# AMENDMENT TRANSMITTAL LETTER (LARGE)

Applicant(s): Topfl, et al.

Docket No.

190252-1890

Serial No.  
09/886,071

Filing Date  
June 20, 2001

Examiner  
Chankong, Dohm

Confirmation No.  
5990

Group Art Unit  
2152

Invention: **System and Method for Server-Based Predictive Caching of Back-End System**

Commissioner for Patents  
Mail Stop Amendment  
P.O. Box 1450  
Alexandria VA 22313-1450

Transmitted herewith is Amendment and Response to Office Action in the above-identified application.

The fee has been calculated and is transmitted as shown below

## CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	20 -	20 =	0	X \$50.00	\$0
INDEP. CLAIMS	4 -	4 =	0	X \$200.00	\$0
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$360.00
EXTENSION FEE	1 <sup>ST</sup> MONTH <input type="checkbox"/> \$120.00	2 <sup>ND</sup> MONTH <input type="checkbox"/> \$450.00	3 <sup>RD</sup> MONTH <input type="checkbox"/> \$1,020.00	4 <sup>TH</sup> MONTH <input type="checkbox"/> \$1,590.00	\$0
Other Fees:					\$0
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0

- ☒ No additional fee is required.
- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_
- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed. A duplicate copy of this page is enclosed.
- ☐ A Credit Card Payment Form PTO-2038 is attached in the amount of \$ \_\_\_\_\_
- ☒ The Director is hereby authorized to charge any deficiencies of the above fees or credit any overpayment to Deposit Account No. 20-0778.

Jeffrey R. Kuester, Reg. No. 34,367

Date

12-13-04



## CERTIFICATE OF MAILING

Thereby certify that the below listed items are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to:

**Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450**

on 12-13-04

Jeffrey R. Kuester

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**For: System and Method for Server-Based Predictive Caching of Back-End System**

The following is a list of documents enclosed:

Return Postcard  
Amendment Transmittal  
Amendment and Response to Office Action

Further, the Commissioner is authorized to charge Deposit Account No. 20-0778 for any additional fees required. The Commissioner is requested to credit any excess fee paid to Deposit Account No. 20-0778.

Customer No.: 38823